Express Mail No. EQ 060217509 US

APR 2006
APR 2006
APR 2006
APR 2006
APR 2006
APR 2006
Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 22223USWO (C038435/0197655)

U.S. APPLICATION:NO. (If known (see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/011469 13 October 2004

PRIORITY DATE CLAIMED
21 October 2003

TITLE OF INVENTION STABILISATION OF POLYUNSATURATED FATTY ACID (PUFA) ESTER CONCENTRATES						
APPLICANT(S) FOR DO/EO/US						
Neil MACFARLANE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. X The US has been elected (Article 31).						
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. is attached hereto (required only if not communicated by the International Bureau).						
b. X has been communicated by the International Bureau.						
c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. is attached hereto.						
b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. are attached hereto (required only if not communicated by the International Bureau).						
b. have been communicated by the International Bureau.						
c. have not been made; however, the time limit for making such amendments has NOT expired.						
d. X have not been made and will not be made.						
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:						
11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.						
14. An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.						
16. A power of attorney and/or change of address letter.						
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
Designation of Registered Practitioners Pursuant to 37 CFR § 1.32(c)(3) (2 pp.); Certificate of Express 20. Other items or information: Mailing (1 page); and Return Postcard.						
This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

AP20 R36 4 PO 1.770 20 APR 2006

PTC-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO: (if known, see) 37 CFR 1.5) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER			
TUI	5/0931		PCT/EP200	4/011	469	222	23USWO (C03	8435/0197655)	
	ng fees are submitted:				· -		olicant use	Office use only	
a) Basic na	tional fee			\$	300.00	\$	300.00		
X b) Examination fee\$200.00				200.00	\$	200.00			
X c) Search fee\$500.00				500.00	\$	500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$	1,000.00		
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence								
listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						ĺ			
Total Sheets	T T		Number of each additional 50 or fraction thereof (round up to a whole number)						
10 - 100 =	0 /50 =		0	×	\$250.00	\$	0.00		
Surcharge of \$13	80.00 for furnishing the o	ath or declara	tion later than 30 months fi	om the e	arliest	 			
	late (37 CFR 1.492(e)).					\$			
CLAIMS	NUMBER FII	LED	NUMBER EXTRA	F	RATE	\$			
Total claims	20	- 20 =	0	x	\$50.00	\$	0.00		
Independent clair	ms 1	- 3 =	0	x :	\$200.00	\$	0.00		
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$	0.00		
	TOTAL OF ABOVE CALCULATIONS :				LATIONS =	\$	1,000.00		
Applicant c	laims small entity status.	See 37 CFR	1.27. The fees indicated at	oove are	reduced	\$	0.00		
				St	JBTOTAL =	\$	1,000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	0.00	·	
TOTAL NATIONAL FEE =					\$	1,000.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$	0.00			
TOTAL FEES ENCLOSED =					\$	1,000.00	1		
Amount to be refunded:							\$		
Amount to be charged				be charged			\$		
a X A chec		4.000.0	20			777		<u> </u>	
I	k in the amount of \$								
b. Please charge my Deposit Account No in the amount of \$ 1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No02-4467 A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an	n appropriate time limit restore the Internationa	under 37 CF I Application	R 1.495 has not been me to pending status.	t, a petit	ion to revive	(37 (CFR 1.137(a) or (b))	must be filed	
SEND ALL COR	RESPONDENCE TO:			•					
Stephen M. Haracz, Esq.							Ma		
BRYAN CAVE LLP SENATURE						–			
1290 Avenue of the Americas						Ste	ohen J. Brown	l	
New York, New York 10104							12 E10		
Phone: (212) 541-2000						N NC	43,519		
Fax: (212) 541-4630 REGISTRATION NUMBER									

Docket No. 22223USWO (C038435/0197655)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

In re International Application o)									
Neil MACFARLANE)									
Based on Int'l Application No.:	PCT/EP2004/011469)	Examiner: Not yet assigned							
International Filing Date:	13 October 2004)	Art Unit: Not yet assigned							
Filed:	Herewith)								
For: STABILISATION OF POACID (PUFA) ESTER CO)									
CERTIFICATE OF EXPRESS MAILING										
"Express Mail" Mailing L Date of Deposit: April	abel No.: EQ 060217509 U \$ 20, 2006	S								
I hereby certify that the followin	g:									
 [x] Certificate of Express Mailing (1 page) [x] Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pp) in duplicate [x] Combined Declaration For Patent And Power Of Attorney (2 pp) (executed) [x] Information Disclosure Statement and PTO-1449 (4 pp, including duplicate page 2), with International Search Report, Written Opinion of the International Searching Authority, International Preliminary Report on Patentability, and copies of documents [x] Preliminary Amendment (8 pp, including duplicate page 1) [x] Designation of Registered Practitioners Pursuant to 37 CFR § 1.32(c)(3) (2 pp) [x] Check for \$1,000.00 (National fee) [x] Return postcard 										
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.										

Andrew Dobson

Signature of Person Making Deposit

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000